

# Balance of Payments Categories

## Inward Payments

*The Balance of Payment categories consists of a category and in some cases a sub-category is also applicable.*

### A. Merchandise

#### Transaction adjustments

100 | Adjustments / Reversals / Refunds applicable to merchandise

#### Exports : Advance payments

101	01	Export advance payment (excluding capital goods, gold, platinum, crude oil, refined petroleum products, diamonds, steel, coal, iron ore and goods exported via the South African Post Office)
101	02	Export advance payment - capital goods
101	03	Export advance payment – gold
101	04	Export advance payment – platinum
101	05	Export advance payment – crude oil
101	06	Export advance payment – refined petroleum products
101	07	Export advance payment – diamonds
101	08	Export advance payment – steel
101	09	Export advance payment – coal
101	10	Export advance payment – iron ore
101	11	Export advance payment - goods exported via the South African Post Office
102	01	Not allocated
102	02	Not allocated
102	03	Not allocated
102	04	Not allocated
102	05	Not allocated
102	06	Not allocated
102	07	Not allocated
102	08	Not allocated
102	09	Not allocated
102	10	Not allocated
102	11	Not allocated

#### Exports:

103	01	Export payments (excluding capital goods, gold, platinum, crude oil, refined petroleum products, diamonds, steel, coal, iron ore and goods exported via the South African Post Office)
103	02	Export payment - capital goods
103	03	Export payment – gold
103	04	Export payment – platinum

103	05	Export payment – crude oil
103	06	Export payment – refined petroleum products
103	07	Export payment – diamonds
103	08	Export payment – steel
103	09	Export payment – coal
103	10	Export payment – iron ore
103	11	Export payment – goods exported via the South African Post Office

104	01	Not allocated
104	02	Not allocated
104	03	Not allocated
104	04	Not allocated
104	05	Not allocated
104	06	Not allocated
104	07	Not allocated
104	08	Not allocated
104	09	Not allocated
104	10	Not allocated
104	11	Not allocated

### Exports: Other

105		Consumables acquired in port
106		Trade finance repayments in respect of exports
107		Export proceeds where the Customs value of the shipment is less than R500
108		Export payments where goods were declared as part of passenger baggage and no UCR is available
109	01	Proceeds for goods purchased by non residents where no physical export will take place, excluding gold, platinum, crude oil, refined petroleum products, diamonds, steel, coal and iron ore as well as merchanting transactions
109	02	Proceeds for gold purchased by non residents where no physical export will take place, excluding merchanting transactions
109	03	Proceeds for platinum purchased by non residents where no physical export will take place, excluding merchanting transactions
109	04	Proceeds for crude oil purchased by non residents where no physical export will take place, excluding merchanting transactions
109	05	Proceeds for refined petroleum products purchased by non residents where no physical export will take place, excluding merchanting transactions
109	06	Proceeds for diamonds purchased by non residents where no physical export will take place, excluding merchanting transactions
109	07	Proceeds for steel purchased by non residents where no physical export will take place, excluding merchanting transactions
109	08	Proceeds for coal purchased by non residents where no physical export will take place, excluding merchanting transactions
109	09	Proceeds for iron ore purchased by non residents where no physical export will take place, excluding merchanting transactions
109	10	Not allocated
110		Merchanting transaction
111		Not allocated
112		Not allocated

## B. Intellectual property and other services

### Transaction adjustments

200	Adjustments / Reversals / Refunds applicable to intellectual property and service related items
-----	---

### Charges for the use of intellectual property

201	Rights assigned for licences to reproduce and/or distribute
202	Rights assigned for using patents and inventions (licensing)
203	Rights assigned for using patterns and designs (including industrial processes)
204	Rights assigned for using copyrights
205	Rights assigned for using franchises and trademarks

### Disposal of Intellectual Property (Excluding Computer related and Audiovisual)

210	Disposal of patents and inventions
211	Disposal of patterns and designs (including industrial processes)
212	Disposal of copyrights
213	Disposal of franchises and trademarks

### Research and Development

220	Proceeds received for research and development services
221	Funding received for research and development

### Audiovisual and related items

225	Sales of original manuscripts, sound recordings and films
226	Receipt of funds relating to the production of motion pictures, radio and television programs and musical recordings

### Computer software and related items

230	The outright selling of ownership rights of software
231	Computer-related services including maintenance, repair and consultancy
232	Commercial sales of customised software and related licenses for use by customers
233	Commercial sales of non-customised software on physical media with periodic licence to use
234	Commercial sales of non-customised software provided on physical media with right to perpetual (ongoing) use
235	Commercial sales of non-customised software provided for downloading or electronically made available with periodic license
236	Commercial sales of non-customised software provided for downloading or electronically made available with single payment

### Technical related services

240	01	Fees for processing - processing done on materials (excluding gold, platinum, crude oil, refined petroleum products, diamonds, steel, coal and iron ore)
240	02	Fees for processing - processing done on gold
240	03	Fees for processing - processing done on platinum
240	04	Fees for processing - processing done on crude oil

240	05	Fees for processing - processing done on refined petroleum products
240	06	Fees for processing - processing done on diamonds
240	07	Fees for processing - processing done on steel
240	08	Fees for processing - processing done on coal
240	09	Fees for processing - processing done on iron ore
241		Repairs and maintenance on machinery and equipment
242		Architectural, engineering and other technical services
243		Agricultural, mining, waste treatment and depollution services

### Travel services for non-residents

250		Travel services for non-residents - business travel
251		Travel services for non-residents - holiday travel
252		Foreign exchange accepted by residents from non-residents

### Travel services for residents

255		Travel services for residents - business travel
256		Travel services for residents - holiday travel

### Travel services in respect of third parties

260		Proceeds for travel services in respect of third parties - business travel
261		Proceeds for travel services in respect of third parties - holiday travel

### Telecommunication and information services

265		Proceeds for telecommunication services
266		Proceeds for information services including data, news related and news agency fees

### Transportation services

270	01	Proceeds for passenger services - road
270	02	Proceeds for passenger services - rail
270	03	Proceeds for passenger services - sea
270	04	Proceeds for passenger services - air
271	01	Proceeds for freight services - road
271	02	Proceeds for freight services - rail
271	03	Proceeds for freight services - sea
271	04	Proceeds for freight services - air
272	01	Proceeds for other transport services - road
272	02	Proceeds for other transport services - rail
272	03	Proceeds for other transport services - sea
272	04	Proceeds for other transport services - air
273	01	Proceeds for postal and courier services - road
273	02	Proceeds for postal and courier services - rail
273	03	Proceeds for postal and courier services - sea
273	04	Proceeds for postal and courier services - air

### **Financial services provided**

275	Commission and fees
276	Proceeds for financial services charged for advice provided

### **Construction services**

280	Proceeds for construction services
-----	------------------------------------

### **Government services**

281	Proceeds for government services
282	Diplomatic transfers

### **Study related services**

285	Tuition fees
-----	--------------

### **Other business services rendered**

287	Proceeds for legal services
288	Proceeds for accounting services
289	Proceeds for management consulting services
290	Proceeds for public relation services
291	Proceeds for advertising & market research services
292	Proceeds for managerial services
293	Proceeds for medical and dental services
294	Proceeds for educational services
295	Operational leasing
296	Proceeds for cultural and recreational services
297	Proceeds for other business services not included elsewhere
298	Not allocated
299	Not allocated

## **C. Transactions relating to income and yields on financial assets**

### **Transaction adjustments**

300	Adjustments / Reversals / Refunds related to income and yields on financial assets
-----	--

### **Income receipts**

301	Dividends
302	Branch profits
303	Compensation paid by a non-resident to a resident employee temporarily abroad (excluding remittances)
304	Compensation paid by a non-resident to a non-resident employee in South Africa (excluding remittances)
305	Compensation paid by a non-resident to a migrant worker employee (excluding remittances)
306	Compensation paid by a non-resident to a foreign national contract worker employee (excluding remittances)
307	Commission or brokerage
308	Rental

309	01	Interest received from a resident temporarily abroad in respect of loans
309	02	Interest received from a non-resident in respect of individual loans
309	03	Interest received from a non-resident in respect of study loans
309	04	Interest received from a non-resident in respect of shareholders loans
309	05	Interest received from a non-resident in respect of third party loans
309	06	Interest received from a non-resident in respect of trade finance loans
309	07	Interest received from a non-resident in respect of a bond
309	08	Interest received not in respect of loans
310	01	Income in respect of inward listed securities equity individual
310	02	Income in respect of inward listed securities equity corporate
310	03	Income in respect of inward listed securities equity bank
310	04	Income in respect of inward listed securities equity institution
311	01	Income in respect of inward listed securities debt individual
311	02	Income in respect of inward listed securities debt corporate
311	03	Income in respect of inward listed securities debt bank
311	04	Income in respect of inward listed securities debt institution
312	01	Income in respect of inward listed securities derivatives individual
312	02	Income in respect of inward listed securities derivatives corporate
312	03	Income in respect of inward listed securities derivatives bank
312	04	Income in respect of inward listed securities derivatives institution
313		Income earned abroad by a resident on an individual investment
314		Not allocated
315		Not allocated

## D. Transfers of a current nature

### Transaction adjustments

400		Adjustments / Reversals / Refunds related to transfers of a current nature
-----	--	--

### Current payments

401		Gifts
402		Annual contributions
403		Contributions in respect of social security schemes
404		Contributions in respect of charitable, religious and cultural (excluding research and development)
405		Other donations / aid to Government (excluding research and development)
406		Other donations / aid to private sector (excluding research and development)
407		Pensions
408		Annuities (pension related)
409		Inheritances
410		Alimony
411	01	Tax - Income tax
411	02	Tax - VAT refunds
411	03	Tax - Other
412		Insurance premiums (non life/short term)
413		Insurance claims (non life/short term)

414	Insurance premiums (life)
415	Insurance claims (life)
416	Migrant worker remittances (excluding compensation)
417	Foreign national contract worker remittances (excluding compensation)
418	Not allocated
419	Not allocated

## E. Transfers of a capital nature

### Capital Transfers and immigrants

#### Transaction adjustments

500	Adjustments / Reversals / Refunds related to capital transfers and immigrants
-----	---

#### Capital transfers relating to government / corporate entities (excluding loans)

501	Domations to SA Government for fixed assets
502	Donations to corporate entities - fixed assets
503	Investment into property by a non-resident corporate entity
504	Disinvestment of property by a resident corporate entity

#### Capital transfers by non-resident individuals

510	01	Investment into property by a non-resident individual
510	02	Investment by a non-resident individual - other

#### Capital transfers by South African resident individuals

##### Disinvestment of capital

*(Note: Categories 511/01 to 511/07 is preferred above the use of categories 514/01 to 514/07 and 515/01 to 515/07, which will be discontinued in future)*

511	01	Disinvestment of capital by a resident individual – Shares
511	02	Disinvestment of capital by a resident individual – Bonds
511	03	Disinvestment of capital by a resident individual - Money market instruments
511	04	Disinvestment of capital by a resident individual – Deposits with a foreign bank
511	05	Disinvestment of capital by a resident individual – Mutual funds / collective investment schemes
511	06	Disinvestment of capital by a resident individual – Property
511	07	Disinvestment of capital by a resident individual – Other
511	08	Not allocated

##### Disinvestment of capital in terms of investment allowance

512	01	Not allocated
512	02	Not allocated
512	03	Not allocated
512	04	Not allocated
512	05	Not allocated
512	06	Not allocated
512	07	Not allocated
512	08	Not allocated
513		Not allocated

### Disinvestment of capital

*(Note: Categories 511/01 to 511/07 is preferred above the use of categories 515/01 to 515/07, which will be discontinued in future)*

516		Repatriation of capital, on instruction by the Financial Surveillance Department, of a foreign investment by a resident individual in respect of cross-border flows
517		Repatriation of capital, on instruction by the Financial Surveillance Department, of a foreign investment by a resident individual originating from an account conducted in foreign currency held at an Authorised Dealer in South Africa

### **Immigrants**

530	01	Immigration
530	02	Not allocated
530	03	Not allocated
530	04	Not allocated
530	05	Not allocated
530	06	Not allocated
530	07	Not allocated
530	08	Not allocated
531		Not allocated
532		Not allocated



## Financial investments/disinvestments and Prudential investments

### Transaction adjustments

600		Adjustments / Reversals / Refunds related to financial investments/disinvestments and prudential investments
-----	--	--

### Financial investments/disinvestments (excluding local institutional investors)

#### Investment by a non-resident

601	01	Investment in listed shares by a non-resident
601	02	Investment in non-listed shares by a non-resident
602		Investment into money market instruments by a non-resident
603	01	Investment into listed bonds by a non-resident (excluding loans)
603	02	Investment into non-listed bonds by a non-resident (excluding loans)

#### Disinvestment by a resident corporate entity

605	01	Disinvestment of shares by resident - Agriculture, hunting, forestry and fishing
605	02	Disinvestment of shares by resident - Mining, quarrying and exploration
605	03	Disinvestment of shares by resident - Manufacturing
605	04	Disinvestment of shares by resident - Electricity, gas and water supply
605	05	Disinvestment of shares by resident - Construction
605	06	Disinvestment of shares by resident - Wholesale, retail, repairs, hotel and restaurants
605	07	Disinvestment of shares by resident - Transport and communication
605	08	Disinvestment of shares by resident - Financial services
605	09	Disinvestment of shares by resident - Community, social and personal services
605	10	Not allocated

#### Inward listed investments

610	01	Inward listed securities equity individual buy back
610	02	Inward listed securities equity corporate buy back
610	03	Inward listed securities equity bank buy back
610	04	Inward listed securities equity institution buy back
611	01	Inward listed securities debt individual redemption
611	02	Inward listed securities debt corporate redemption
611	03	Inward listed securities debt bank redemption
611	04	Inward listed securities debt institution redemption

612	01	Inward listed securities derivatives individual proceeds
612	02	Inward listed securities derivatives corporate proceeds
612	03	Inward listed securities derivatives bank proceeds
612	04	Inward listed securities derivatives institution proceeds
613	01	Not allocated
613	02	Not allocated
613	03	Not allocated
613	04	Not allocated

### Prudential investments (Institutional Investors and Banks)

615	01	Disinvestment by resident institutional investor – Asset Manager
615	02	Disinvestment by resident institutional investor – Collective Investment Scheme
615	03	Disinvestment by resident institutional investor – Retirement Fund
615	04	Disinvestment by resident institutional investor – Life Linked
615	05	Disinvestment by resident institutional investor – Life Non Linked
616		Bank prudential disinvestment
617		Not allocated
618		Not allocated

## Derivatives

### Transaction adjustments

700		Adjustments / Reversals / Refunds related to derivatives
-----	--	--

### Derivatives (excluding inward listed)

701	01	Options – listed
701	02	Options – unlisted
702	01	Futures – listed
702	02	Futures – unlisted
703	01	Warrants – listed
703	02	Warrants – unlisted
704	01	Gold hedging – listed
704	02	Gold hedging – unlisted
705	01	Derivative not specified above – listed
705	02	Derivative not specified above – unlisted
706		Not allocated
707		Not allocated

## F. Loan and Miscellaneous payments

### Transaction adjustments

800		Adjustments / Reversals / Refunds related to loan and miscellaneous payments
-----	--	--

## Loans (Capital portion)

### Loans granted to residents

801	Trade finance loan drawn down in South Africa
802	International Bond drawn down
803	Loan made to a resident by a non-resident shareholder
804	Loan made to a resident by a non-resident third party
805	Not allocated

### Loans repaid by a residents temporarily abroad

810	Repayment by a resident temporarily abroad of a loan granted by a resident
-----	--

### Loans repaid by non-residents

815	Repayment of an individual loan to a resident
816	Repayment of a study loan to a resident
817	Repayment of a shareholders loan to a resident
818	Repayment of a third party loan to a resident (excluding shareholders)
819	Repayment of a trade finance loan to a resident
820	Not allocated

## Miscellaneous payments

830	Details of payments not classified
831	Not allocated
832	Rand drafts/cheques drawn on vostro accounts (Only applicable if no description is available)
833	Credit/Debit card company settlement as well as money remitter settlements
834	Not allocated
835	Not allocated
836	Not allocated